

VIETNAM NATIONAL COAL AND MINERAL
INDUSTRIES HOLDING CORPORATION LIMITED
VINACOMIN - MINERALS HOLDING CORPORATION

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, 21st July 2025

**REPORT ON CORPORATE GOVERNANCE
(First six months of 2025)**

Respectfully to: - The State Securities Commission
- Ha Noi Stock Exchange

- ✓ Name of Company : Vinacomin – Minerals Holding Corporation – Joint Stock company
- ✓ Address of head office : No. 193, Nguyen Huy Tuong Street, Thanh Xuan Trung ward, Thanh Xuan district, Hanoi city
- ✓ Tel : (84-4) 6287 6666
- ✓ Fax : (84-4) 6288 3333
- ✓ Email : quanhecodong@vimico.vn
- ✓ Charter capital : 2.000.000.000.000
- ✓ Stock symbol : **KSV**

- Governance model:

+ General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders:

Information on meetings and resolutions/decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No	Ref. of Resolution/ Decision	Date	Content
1	1056/NQ-VIMICO	22/4/2025	- Approval of the Report on Business and Construction Investment Activities in 2024 and the Period 2021–2025; Directions and Tasks for 2025 and the Period 2026–2030 of Vinacomin -Minerals

			<p>Holding Corporation (VIMICO).</p> <ul style="list-style-type: none"> - Approval of the 5-year Business Plan for the period 2026–2030 of Vimico. - Approval of the Report on Activities of the Board of Directors. - Election of members of the Board of Directors and the Supervisory Board of the Corporation for the 2025–2030 term. - Approval of the Supervisory Board’s Activity Report for 2024 and the period 2020–2025, as well as the orientation and tasks for 2025 and the period 2025–2030; and the Supervisory Board’s report on the appraisal of the audited financial statements for 2024 of Vinacomin- Minerals Holding Corporation. - Approval of the 2024 Financial Statements and the 2024 Profit Distribution Plan of the Parent Company. - Approval of the remuneration and salary payment for managerial positions in 2024 and the proposed plan for 2025. - Approval of the selection of the auditing firm for the 2025 financial statements. - Approval of the contract for mineral mining, screening, and processing in 2025 with the Vietnam National Coal and Mineral Industries Group (VINACOMIN). - Other contents under the authority of the General Meeting of Shareholders.
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II. Board of Directors (First six months of 2025)

1. Information of Board of Directors’ members (BOD)

Vimico’s Board of Directors’ members are:

- Mr. Nguyen Van Hai – Head of Capital Management Board - Vietnam National Coal and Mineral Industrial Holding Corporation Ltd; Chairman of Board of Directors of Vimico for 2025-2030 term;

- Mr. Trinh Van Tue - Member of the Board of Directors of Vimico for the 2025-2030 term; General Director of Vimico;

- Mr. Dang Duc Hung - Full-time member of the Board of Directors of Vimico for the 2025-2030 term;

- Mr. Ngo Quoc Trung - Member of the Board of Directors of Vimico for the 2025-2030 term; Deputy General Director of Vimico;

- Mr. Nguyen Van Thai - Independent member of the Board of Directors of

Vimico for the 2025-2030.

Nr	Board of Directors' Member	Position/ <i>(Independent members of Board of Directors, Non-executive members of Board of Directors)</i>	The date becoming/ceasing to be the member of Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Nguyen Van Thai	Independent member of Board of Directors	25 April 2023	

1. Meetings of the Board of Directors

Nr	Board of Directors' member	Position	The date becoming/ceasing to be the member of Board of Directors	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Nguyen Van Hai	Chairman of Board of Directors	05/10/2015	22	100%	
2	Trinh Van Tue	Member of Board of Directors – General Director	08/9/2016	22	100%	
3	Dang Duc Hung	Full-time member of Board of Directors	16/3/2018	22	100%	
4	Ngo Quoc Trung	Member of Board of Directors	5/10/2015	22	100%	
5	Nguyen Van Thai	Independent member of Board of Directors	25/4/2023	22	100%	

3. Supervising the Board of Management by Board of Directors.

- A summary of business and production activities in the first half of 2025 and the implementation of the business plan for the second half of 2025;
- Periodic meetings of the Board of Directors were held to issue Resolutions on

management solutions for business operations and to evaluate implemented results for timely adjustments

- Monitoring and supervision of business operations were carried out to ensure alignment with the economic objectives set forth in the Resolutions of the Board of Directors, and internal rules and regulations of the Corporation were amended and supplemented to comply with State laws and the Company's Charter

- The Board of Directors directed the Executive Management to develop the business and investment plans for the first half of 2025, along with implementation measures for the second half of 2025, in accordance with the Resolutions adopted at the 2025 Annual General Meeting of Shareholders

- The Board of Directors directed the successful convening of the 2025 Annual General Meeting of Shareholders, at which important agenda items concerning the Corporation's production and business operations were approved;

- Steered and guided the implementation of production and business plan that has been approved at the 2025 Annual General Meeting of Shareholders

- The Board of Directors of the Corporation has provided prompt directions and instructions over the process from the development of the Project to perfect the positions of senior leaders of the Corporation in accordance with regulations.

- The monitoring activities were carried out by the members of the Board of Directors in accordance with the provisions of law and the Charter of the Minerals Corporation - TKV.

4. Activities of the subcommittees of the Board of Directors: The Board of Directors has established an Internal Audit Committee and Investment Project Implementation Appraisal Teams that assisted the Board of Directors of the Corporation in controlling and appraising related work contents in the process of managing construction investment projects in accordance with current regulations of the Government and the Corporation.

5. Resolutions/Decisions of the Board of Directors (Report for the first 6 months of 2025)

Nr	Reference of the Resolutions	Date	Approval rate
1	Resolutions Ref. 61/NQ-VIMICO	07/01/2025	100%
2	Resolutions Ref 142/NQ-VIMICO	15/01/2025	100%
3	Resolutions Ref 200/NQ-VIMICO	22/01/2025	100%
4	Resolutions Ref 226/NQ-VIMICO	23/01/2025	100%
5	Resolutions Ref 252/NQ-VIMICO	04/02/2025	100%
6	Resolutions Ref 310/NQ-VIMICO	11/02/2025	100%
7	Resolutions Ref 311/NQ-VIMICO	11/02/2025	100%

8	Resolutions Ref 374/NQ-VIMICO	18/02/2025	100%
9	Resolutions Ref 532/NQ-VIMICO	04/3/2025	100%
10	Resolutions Ref 721/NQ-VIMICO	21/3/2025	100%
11	Resolutions Ref 842/NQ-VIMICO	02/4/2025	100%
12	Resolutions Ref 1002/NQ-VIMICO	17/4/2025	100%
13	Resolutions Ref 1025/NQ-VIMICO	21/4/2025	100%
14	Resolutions Ref 1062/NQ-VIMICO	21/4/2025	100%
15	Resolutions Ref 1097/NQ-VIMICO	25/4/2025	100%
16	Resolutions Ref 1131/NQ-VIMICO	29/4/2025	100%
17	Resolutions Ref 1188/NQ-VIMICO	08/5/2025	100%
18	Resolutions Ref 1252/NQ-VIMICO	14/5/2025	100%
19	Resolutions Ref 1293/NQ-VIMICO	20/5/2025	100%
20	Resolutions Ref 1380/NQ-VIMICO	29/5/2025	100%
21	Resolutions Ref 1547/NQ-VIMICO	18/6/2025	100%
22	Resolutions Ref 1573/NQ-VIMICO	20/6/2025	100%

II. Board of Supervisors

1. Information of the members of Board of Supervisors:

Nr	Board of Supervisors' member	Position	Date becoming/ceasing to be the member of Board of Supervisors	Qualification
1	Luong Van Linh	Head of Board of Supervisors	6/10/2015	Bachelor of Economics
2	Pham Xuan Phong	Member of Board of Supervisors	26/4/2017	Bachelor of Economics
3	Nguyen Nam Hung	Member of Board of Supervisors	25/3/2020	Construction electrical and mechanical engineer

1. Meetings of Board of Supervisors/Audit Committee

Nr	Member of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Luong Van Linh	05	100%	100%	
2	Pham Xuan Phong	05	100%	100%	
3	Nguyen Nam Hung	05	100%	100%	

3. Hoạt động giám sát của BKS đối với HĐQT, BGĐ điều hành và cổ đông.

- In 2025, the Board of Supervisors periodically inspected and supervised the production and business activities of affiliated units; participated with the Board of Directors in approving production and business plans. Inspected and supervised financial reports before the disclosure

- The Board of Directors, General Director and shareholders have performed the assigned rights and duties in accordance with the provisions of the Enterprise Law, relevant laws, the Corporation's Charter and the Resolution of the General Meeting of Shareholders, secured the legitimate interests of the Corporation and shareholders.

4. The Coordination among the Board of Supervisors, Board of Management and other managers:

- The Board of Supervisors studied documents and participated in the regular meetings of the Board of Directors; joint meetings between the Board of Directors and the Executive Board; meetings of the Executive Board; meetings of the Corporation's office; a number of meetings on professional topics, thanked to which the resolutions of the Board of Directors have been organized and implemented strictly and promptly;

- Supervised the activities of the Board of Directors through the implementation of the Resolutions of the General Meeting of Shareholders, Resolutions of the Board of Directors' meetings, Regulations and Rules on organizing activities in each specific field issued by the Corporation

- Supervised the activities of the General Director through the implementation of the planning targets approved by the General Meeting of Shareholders, the implementation of the Resolutions of the Board of Directors, the regulations of the General Director of the Corporation in the fields under the authority of the General Director as stipulated in the Charter and Regulations on the activities of the Board of Directors of the Company and other related documents

- All and every documents related to the activities of the Corporation were sent to the Board of Supervisors

- Information exchange and coordination between the Company's Board of Supervisors and shareholders were carried out in accordance with the provisions of the Corporation's Charter.

5. Other activities of the Board of Supervisors:

- Involved in the selection of independent auditor for 2025 Financial Statements and the preparation of reports to the 2025 Annual General Meeting of Shareholders (auditing the 2024 Financial Statements, the 2024 Supervisory Board's Operation Report and the 2025 Task Orientations, 2025-2030 term). Implemented control over the Corporation's production and business activities, controlled the report on the implementation of construction investment, the settlement of key project investment capital, material purchase and sale activities, corporate restructuring, consumption.

- Considered the issuance of the Corporation's Regulations and Rules.

- Inspected and supervised the implementation of the enterprise's obligations according to the provisions of the Law (including tax obligations, social insurance obligations, health insurance obligations, etc.)

- Controlled the implementation of the salary fund, labor implementation, income and implementation of employee rights and other activities, according to the Minutes of the Annual Employee Conference Resolution and the Minutes of the Resolution of the Annual and Irregular General Meeting of Shareholders.

- Undertook other activities in accordance with the Charter of the Corporation

IV. Board of Management

No	Member of Board of Management	Date of Birth	Qualification	Date of appointment/dismissal of member of the Board of Management
1	Mr. Trinh Van Tue	28/12/1972	Electrification - Power Supply Engineer	16/3/2018
2	Ly Xuan Tuyen	18/02/1980	Master of Mineral Processing Engineering	05/11/2019
3	Ngo Quoc Trung	07/3/1973	Master of Business Administration, Bachelor of Economics	15/6/2018
4	Le Tuan Ngoc	26/8/1970	Master of Business Administration Economics	23/02/2021
5	Dang Xuan Tuyen	19/8/1968	Master of Mineral Processing	07/6/2024

V. Chief Account

Full name	Date of birth	Qualification	Date of appointment/dismissal
Nguyen Van Vien	13/01/1981	Master of Business Administration	16/7/2018

VI. Training courses on corporate governance:

Training courses on corporate governance led by members of the Board of Directors, member of Board of supervisors, Director (General Director) and participated by other managers and secretaries in accordance with the regulations on corporate governance: *Board of directors, General Director, Board of Supervisors participated in training courses on management, administration, capital management held by TKV.*

VII. The list of affiliated persons of the public company (First six months of 2025) and their transaction with the Company

11. List of affiliated persons of the Company:

(Details are in Appendix 01 attached to this Report).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

(Details are in Appendix 02 attached to this Report)

3. Transactions between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:

(None)

4. Transaction between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).

(None)

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO).

(Details are in appendix 3 attached to this Report)

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers.

(None)

VI. Share transactions of internal persons and their affiliated persons (First six months of 2025).

1. List of internal persons and their affiliated persons.

(Details are in appendix 4 attached to this Report)

2. Transactions of internal persons and affiliated persons with shares of the Company.

(Details are in appendix 5 attached to this Report)

VII. Other significant issues.

CHAIRMAN OF BOARD OF DIRECTORS



Nguyen Van Hai

INFORMATION OF CORPORATE GOVERNANCE

Reporting Period: First sixth month of 2025

No		Question	Answer
1		Stock symbol	KSV
2		State shareholder ownership ratio (%)	98%
3		Company model (1 or 2) - 1: According to point a, clause 1, Article 137 of the Enterprise Law 2020 - 2: According to point b, clause 1, Article 137 of the Enterprise Law 2020	1
4	General information	Number of legal representatives of the company?	1
5		Amended charter according to the Enterprise Law 2020 (Yes/No)?	Yes
6		The company issued internal regulations on corporate governance according to the Enterprise Law 2020 and Decree No. 155/2020/ND-CP dated December 31, 2020	Yes
7		Date of holding the Annual General Meeting of Shareholders	22/4/2025
8		Date of posting documents of the Annual General Meeting of Shareholders on the company's website	28/3/2025
9		Date of disclosure of information on documents of the Annual General Meeting of Shareholders to the Stock Exchange	28/3/2024
10		Date of disclosure of Resolutions and Minutes of the Annual General Meeting of Shareholders to the Stock Exchange	23/04/2024
11		How many times has the Company successfully organized the Annual General Meeting of Shareholders (1/2/3)	1
12		Does the Company include the remuneration of each member of the Board of Directors as a separate item in the Company's Annual Financial Report (Yes/No)	Yes
13		Does the Company report the remuneration of each member of the Board of Directors at the Annual General Meeting of Shareholders (Yes/No)	Yes

14		Does the Company include the remuneration of the General Director (Director) and other managers as a separate item in the Company's Annual Financial Report (Yes/No)	Yes
15		Does the Company report the remuneration of the General Director (Director) and other managers at the Annual General Meeting of Shareholders (Yes/No)	Yes
16		Does the Company invite a representative of an approved auditing organization to audit the Company's Annual Financial Report to attend the Annual General Meeting of Shareholders in case the audit report of the report Does the company's annual financial statements contain any material exceptions, denials, or contradictions	Yes
17	General Meeting of Shareholders (In the reporting period)	Is the company being sued regarding the organization of the Annual General Meeting of Shareholders or the Resolution of the Annual General Meeting of Shareholders? (Yes/No)	No
18		Date of the Extraordinary General Meeting of Shareholders	
19		Date of posting documents of extraordinary shareholders' meeting on the company's website	
20		Date of announcement of information of extraordinary shareholders' meeting documents to the Stock Exchange	
21		Date of announcement of Resolution and Minutes of extraordinary shareholders' meeting to the Stock Exchange	
22		How many times has the company successfully organized an extraordinary shareholders' meeting (1/2/3)?	
23		Has the company been sued for organizing the General Meeting of Shareholders, Resolution of the Extraordinary General Meeting of Shareholders? (Yes/No)	
24		Number of times the Stock Exchange has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders	0

25		Number of times the State Securities Commission has reminded or noted regarding the organization and disclosure of information about the General Meeting of Shareholders	0
26		Number of members of the Board of Directors	5
27		Number of independent members of the Board of Directors	1
28		Chairman of the Board of Directors concurrently serving as General Director/Director (Yes/No)	No
29	Board of Directors	Number of meetings of the Board of Directors during the reporting period	22
30		Subcommittees under the Board of Directors (Yes/No)	Yes
31		Names of subcommittees under the Board of Directors	Internal audit
32		Has the company appointed a person in charge of Corporate Governance	Yes
33		Does the Head of the Supervisory Board work full-time at the company? (Question for companies operating under model 1)	Yes
34		Number of Supervisory Board members (Question for companies operating under model 1)	3
35		Number of supervisors who are related to the Board of Directors, Director/General Director and other managers (List the names of supervisors who are related, if any) (Question for companies operating under model 1)	0
36	Board of Supervisors	Number of supervisors holding management positions (List the list and positions, if any) (Question for companies operating under model 1)	2 (Mr. Pham Xuan Phong - Deputy Head of Internal Audit Department, Vietnam National Coal - Mineral Industries Group; Mr. Nguyen Nam Hung - Head of Electromechanical Department, Minerals Corporation - TKV)
37		Number of auditors who are in the financial accounting department or employees of the auditing company who have audited the company within 3 years before being elected to the Supervisory Board (Yes/No) (Question for companies operating under model 1)	No

38		Number of Supervisory Board meetings (Question for companies operating under model 1)	6
39		Is the audit committee under the Board of Directors? (Question for companies operating under model 2)	
40	Audit Committee	Number of members of the Audit Committee (Question for companies operating under model 2)	
41		Number of meetings of the Audit Committee (Question for companies operating under model 2)	
42		The company's website provides full information to shareholders as prescribed (Yes/No)	Yes
43	Other issues	The company pays dividends within 6 months from the end date of the annual General Meeting of Shareholders in the reporting period (Yes/No)	Yes
44		Has the company been reminded or noted by the Stock Exchange or the State Securities Commission about transactions with related parties (Yes/No)	No
45		Has the company performed the internal audit function (Performed/Not performed)	Implemented

Appendix 01: LIST OF INTERNAL PERSONS AND AFILIATED PERSONS (INDIVIDUALS/ORGANIZATION) TO AFFILIATED

Stock Symbol: KSV
Company name: Vinacomin - Minerals Holding Corporation
Closed date: 13/3/2025

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
1		Nguyễn Văn Hải	Chairman of BoD		CCCD	030068000611	12/18/2015	10/5/2015	
1.1		Nguyễn Văn Sượt		father					Passed away
1.2		Lưu Thị Khiển		Mother					Passed away
1.3		Trần Văn Đức		father in law					Passed away
1.4		Nguyễn Thị Đợi		Mother in law	CMND	063373836	3/24/2010		
1.5		Trần Tuyết Hòa		Wife	CMND	063157492			
1.6		Nguyễn Trần Thiên Long		Son	CCCD	010200000021	12/18/2015		
1.7		Nguyễn Tuấn Thành		Son	CCCD	010205000070	5/13/2021		
1.8		Nguyễn Văn Mạnh		Brother	CMND	140941923	4/3/2012		
1.9		Nguyễn Thị Nguyệt		Sister in law	CMND	141551878	12/29/2009		
1.10		Nguyễn Ngọc Hạnh		Brother	CMND	371571901	3/5/2009		
1.11		Dương Thị Hà		Sister in law	CMND	371336794	3/11/2016		
1.12		Nguyễn Thị Hưng		Younger sister	CMND	141497615	6/19/2013		
1.13		Nguyễn Văn Nam		Younger brother	CMND	063536786	10/7/2016		
1.14		Bùi Thị Xoan		Sister in law	CMND	063530978	6/19/2017		
2	069C014590	Nguyễn Văn Thái	Independent member of BoD		CCCD	036059007557	4/8/2021	4/25/2023	
2.1		Đỗ Thị Lan		Wife	CCCD	034159009141	4/16/2021		
2.2		Nguyễn Thị Thu Hương		Daughter	CCCD	008087010887	11/22/2022		



No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
2.3		Nguyễn Trung Thành		Son	CCCD	008185000073	4/24/2021		
2.4		Nguyễn Thị Giăng		Mother	CCCD	036130003207	10/18/2022		
2.5		Nguyễn Thị Hồng Thơm		Older sister	CCCD	036149003799	3/14/2021		
2.6		Nguyễn Văn Nhu		Older brother	CCCD	036049001142	7/2/2021		
2.7		Nguyễn Văn My		Older brother	CCCD	036052009486	7/2/2021		
2.8		Nguyễn Văn Mỹ		Older brother	CCCD	036058006257	1/9/2022		
2.9		Nguyễn Văn Nguyên		Younger brother	CCCD	036063021321	6/24/2021		
2.10		Nguyễn Văn Trọng		Younger brother	CCCD	036064027925	6/3/2021		
2.11		Nguyễn Thị Soạn		Younger sister	CCCD	036168004354	8/16/2021		
2.12		Nguyễn Thị Xoan		Younger sister	CCCD	036171014976	8/13/2021		
2.13		Nguyễn Văn Biên		Younger brother	CCCD	036075001547	6/3/2022		
2.14		Nguyễn Văn Chiến		Son in law	CCCD	036085015115	4/26/2023		
2.15		Lại Thị Thu Hà		Daughter in law	CCCD	022187007862	4/16/2021		
3		Đặng Đức Hưng	Member of BoD		CMND	030067014204	11/17/2021	3/16/2018	
3.1		Đặng Đức Sâm		Father	CMND	142337402	7/23/2003		
3.2		Nguyễn Thị Chải		Mother	CMND	140507540	7/18/2008		
3.3		Trần Văn Bé		father in law	CCCD	030050000017	7/10/2021		Passed away
3.4		Vũ Thị Hải Nhân		Mother in law	CCCD	030147008100	8/16/2021		
3.5		Trần Thị Hương Giang		Wife	CCCD	030174001023	5/5/2016		
3.6		Đặng Trần Bình		Son	CMND	013536551	4/12/2012		
3.7		Đặng Văn Thủy		Daughter	CCCD	022303000009	4/12/2017		
3.8		Đặng Thị Hằng		Younger sister	CMND	142337529	7/23/2003		
3.9		Nguyễn Trí Nhật		Brother in law	CMND	140179094	7/17/2008		
3.10		Đặng Thị Hiền		Younger sister	CMND	141621517	7/18/2008		
3.11		Nguyễn Đình Nguyên		Brother in law	CMND	142534974	4/2/2007		
3.12		Đặng Thị Vinh		Brother	CMND	100816438	5/6/2015		
3.13		Trần Xuân Trường		Brother in law	CMND	100502251	6/21/2012		
3.14		Đặng Văn Hiệu		Brother	CMND	141802263	6/3/2014		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
3.15		Đoàn Thị Thu		Sister in law	CMND	142147452	2/1/2001		
4		Trịnh Văn Tuệ	Member of BoD/General Director/Người đại diện theo pháp luật		CCCD	001072009253	5/29/2018	9/8/2016	
4.1		Trịnh Văn Bạch		father	CCCD	001032001270	4/16/2021		Passed away
4.2		Vũ Thị Dư		Mother	CCCD	001134002559	4/16/2021		Passed away
4.3		Nguyễn Hồng Bằng		father in law					Passed away
4.4		La Thị Rộng		Mother in law	CMND	070151597	6/12/2017		
4.5		Nguyễn Thị Kim Oanh		Wife	CCCD	008177000069	4/27/2021		
4.6		Trịnh Thanh Tùng		Son	CCCD	001098019356	4/27/2021		
4.7		Trịnh Duy Bách		Son	CCCD	010203000022	4/27/2021		
4.8		Trịnh Văn Thành		Older brother	CCCD	001055016337	4/16/2021		
4.9		Đoàn Thị Sơn		Sister in law		001158025295	4/16/2021		
4.10		Trịnh Thị Khéo		Sister	CCCD	001157006345	7/24/2021		
4.11		Nguyễn Văn Lân		Brother in law	CCCD	001058003430	7/10/2021		
4.12		Trịnh Văn Tê		Older Brother	CCCD	001060023988	4/5/2021		
4.13		Đoàn Thị Gọn		Sister in law	CCCD	001165012074	7/10/2021		
4.14		Trịnh Văn Hoàn		Older brother	CCCD	001063004922	7/23/2021		
4.15		Vũ Hương Hồng		Sister in law	CCCD	008166000108	2/17/2021		
4.16		Trịnh Thị Kính		Older sister	CCCD	001166005307	12/27/2021		
4.17		Đỗ Văn Sinh		Brother in law	CCCD	001066005679	12/27/2021		
4.18		Trịnh Thị Huệ		Older sister	CCCD	001169011867	11/22/2021		
4.19		Bùi Văn Nghênh		Brother in law	CCCD	001069039154	11/22/2021		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
4.20		Trịnh Văn Tiến		Younger brother	CCCD	01074022937	4/29/2021		
4.21		Lê Thị Tình		Sister in law	CCCD	008177000214	4/10/2021		
5		Ngô Quốc Trung	Member of BoD/Deputy General Director/Person authorized to disclosure information		CCCD	001073024984	7/10/2021	10/5/2015	
5.1		Ngô Văn Pha		father					Passed away
5.2		Đỗ Thị Lợi		Mother	CCCD	001147000583	5/1/2021		
5.3		Tạ Văn Định		father in law					Passed away
5.4		Ngô Thị Lan		Mother in law					Passed away
5.5		Tạ Mỹ Lệ		Wife	Hộ chiếu	C6292623	11/7/2018		
5.6		Ngô Thùy Chi		Daughter	Hộ chiếu	C6135780	9/27/2018		
5.7		Ngô Hải Vũ		Son	Hộ chiếu	C6891892	3/13/2019		
5.8		Ngô Thị Hồng Loan		Older sister	CCCD	019170000301	4/25/2021		
5.9		Ngô Văn Bình		Younger brother	CCCD	001077041512	11/22/2021		
5.10		Đào Ngọc San		Brother in law	CCCD	001064036928	7/25/2021		
5.11		Hoàng Thị Thu Trang		Sister in law	CMND	131657966	10/6/2017		
6		Đặng Xuân Tuyên	Deputy General Director		CCCD	040068000031	10/8/2022	6/7/2024	
6.1		Đặng Xuân Dung		Father					
6.2		Nguyễn Thị Bốn		Mother					Passed away
6.3		Vũ Huy Cường		Father in law					

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
6.4		Trần Thị Chút		Mother in law					
6.5		Vũ Thị Chinh		Wife	CCCD	022178003485	5/3/2022		
6.6		Đặng Vũ Gia Bình		Son	CCCD	001203041566	5/3/2022		
		Đặng Vũ Diệu Linh		Daughter	CCCD	001307006044	4/27/2022		
6.8		Đặng Xuân Dương		Younger brother	CCCD	001307006044	4/27/2022		
6.9		Đặng Xuân Giang		Younger brother					
6.10		Đặng Xuân Hà		Younger brother					
6.11		Đặng Xuân Hiệp		Younger brother					
6.12		Đặng Xuân Lực		Younger brother					
6.13		Vũ Trung Kiên		Brother in law					
7	069C063409 – SHS Securities	Lý Xuân Tuyên	Deputy General Director		CCCD	015050001078	4/24/2021	11/5/2019	
7,1		Lý Xuân Cương		Father	CCCD	015046002752	8/30/2021		
7,2		Nguyễn Thị Cậy		Mother	CCCD	015146001890	9/6/2021		
7,3		Nguyễn Phú Phú		Father in law					Passed away
7,4		Lê Thị Dộc		Mother in law	CCCD	036140006066	5/9/2021		
7,5	069C097225 – SHS	Nguyễn Thu Hà		Wife	CCCD	010183001116	4/24/2021		
7,6		Lý Mai Linh		Daughter	CCCD	010306000043	4/11/2021		
7,7		Lý Bảo Châu		Daughter		010311002285			
7,8		Lý Minh Châu		Daughter		010317006275			Childhood
7,9		Lý Xuân Hùng		Older brother	CCCD	015066004631	8/30/2021		
7.10		Lại Thị Khuyến		Sister in law	CMND	060804534	9/15/2020		
7.11		Lý Thị Hương		Older sister	CCCD	015170005074	8/30/2021		
7.12		Hà Tiến Hải		Brother in law	CCCD	015064006126	8/30/2021		
7.13		Lý Thị Xuyến		Older sister	CCCD	015173005652	8/30/2021		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
7.14		Nguyễn Mạnh Quyền		Brother in law	CCCD	010069003313	8/30/2021		
7.15		Lý Xuân Tuyền		Older Brother	CMND	063175962	5/27/2004		
7.16		Nguyễn Thị Biền		Sister in law	CCCD	015076007555	12/17/2021		
7.17		Lý Xuân Tuyền		Older brother	CCCD	015077010631	8/30/2021		
7.18		Lý Thị Truyền		Younger sister	CCCD	015182009802	8/30/2021		
7.19		Đoàn Văn Thuận		Brother in law	CMND	06308414	1/22/2021		
8	021C596566 – VNDirect Securities	Lê Tuấn Ngọc	Deputy General Director		CCCD	019070000008	5/10/2021	2/23/2021	
8.1		Lê Minh Cự		Father	CMND	090054629	4/2/2010		
8.2		Lưu Thị Khuyên		Mother	CMND	090054623	3/11/2011		
8.3		Vũ Văn Phước		father in law					Passed away
8.4		Đỗ Thị Kim Quy		Mother in law					Passed away
8.5	033CC45168 – Rồng Việt Securities	Vũ Thị Thu Huyền		Wife	CCCD	001175000618	5/7/2021		
		Lê Hồng Anh		Son					Childhood
8.6		Lê Tuấn Kỳ		Older Brother	CMND	090481907	8/15/2009		
8.7		Nguyễn Thị Hồng		Sister in law	CMND	013579473	11/10/2012		
8.8		Lê Tuấn Nghĩa		Older Brother	CCCD	001061030191	5/10/2021		
8.9		Bùi Thị Liên		Sister in law	CCCD	034172022113	8/31/2021		
8.10		Lê Tuấn Khởi		Older brother	CCCD	019064010872	8/31/2021		
8.11		Nguyễn Thị Sơn		Sister in law	CCCD	019163002900	8/31/2021		
8.12		Lê Thị Hồng Thắm		Younger brother	CCCD	019173001908	4/27/2021		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
9		Lương Văn Lĩnh	Head of Board of Supervisors		CMND	030072011461	11/1/2021	10/6/2015	
9.1		Lương Văn Tĩnh		Father					Passed away
9.2		Bùi Thị Hồng		Mother	CMND	013302071	4/22/2010		
9.3		Nguyễn Văn Hạnh		Father in law					Passed away
9.4		Đỗ Thu Cúc		Mother in law					Passed away
9.5		Nguyễn Thị Hồng Nhung		Wife	CMND	101133348	6/2/2008		
9.6		Lương Tuấn Anh		Son	CCCD	001202006911	11/1/2021		
9.7		Lương Khánh Huyền		Daughter	CCCD	022307010875	11/22/2022		
9.8		Lương Thị Liễu		Younger sister	CMND	141615311	9/5/2012		
9.9		Hoàng Văn Bé		Brother in law	CMND	141613711	12/22/2010		
10		Phạm Xuân Phong	Member of Board of Supervisors		CMND	013240406	12/23/2009	4/26/2017	
10.1		Phạm Như Phi		father					Passed away
10.2		Viên Thị Vũ		Mother					Passed away
10.3		Nguyễn Văn Khúc		father in law					Passed away
10.4		Phạm Thị Gái		Mother in law					
10.5		Nguyễn Thị Mai Hoa		Wife	CMND	013260811	2/24/2010		
10.6		Phạm Thị Thùy An		Daughter	CCCD	00133240433	2/1/2010		
10.7		Phạm Thùy Linh		Daughter	CCCD	022300000003	6/24/2014		
10.8		Phạm Thị Thúy Nhuận		Older sister	CCCD	035161001280	3/28/2018		
10.9		Phạm Văn Lễ		Brother in law	CCCD	035062002181	12/3/2018		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
10.10		Phạm Thị Hường		Younger sister	CCCD	035171002412	4/9/2019		
10.11		Phạm Văn Hiền		Brother in law	CMND	168252147	11/11/2011		
10.12		Phạm Thị Hương		Younger sister	CCCD	035174002537	2/13/2019		
10.13		Ngô Văn Nam		Brother in law	CMND	162009895	9/28/2012		
10.14		Phạm Văn Cách		Younger brother	CMND	050355768	8/31/2015		
10.15		Phạm Thị Thúc		Sister in law	CMND	051118184	5/15/2017		
11		Nguyễn Nam Hưng	Member of Board of Supervisors		CMND	012946660	3/22/2007	3/25/2020	
11.1		Nguyễn Huy Cường		father					Passed away
11.2		Vũ Thị Dung		Mother	CCCD	030148003068	4/14/2021		
11.3		Nguyễn Tất Thắng		father in law					Passed away
11.4		Phạm Thị Vinh		Mother	CCCD	019161000576	4/18/2021		
11.5		Nguyễn Thị Thủy		Wife	CCCD	019187010751	5/10/2021		
11.6		Nguyễn Thủy Tiên		Daughter					Childhood
11.7		Nguyễn Vũ Huy Sơn		Son					Childhood
11.8		Nguyễn Vũ Hồng Giang		Older sister	CCCD	022174005088	4/25/2021		
11.9		Trần Văn Cừ		Brother in law	CCCD	022073002188	4/22/2021		
11.10		Nguyễn Huy Anh		Older brother	CCCD	022076002382	4/25/2021		
11.11		Nguyễn Thanh Hương		Sister in law	CCCD	022176002577	4/25/2021		
12		Nguyễn Văn Viên	Chief Accountant		CCCD	001081027263	4/5/2019	7/16/2018	
12.1		Nguyễn Văn Tuyên		Father	CCCD	001060012129	5/3/2017		
12.2		Vũ Thị Hiền		Mother	CMND	112692686	2/20/2008		
12.3		Trương Văn Thư		Father in law	CCCD	001053003739	12/18/2021		
12.4		Nguyễn Thị Hòa		Mother in law	CCCD	001158042407	12/12/2021		
12.5		Trương Thị Lệ		Wife	CMND	111651223	7/5/2011		

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becoming NLQ	Note
1	2	3	4	5	6	7	8	9	10
12.6		Nguyễn Mai Trang		Daughter					
12.7		Nguyễn Minh Đức		Son					
12.8		Nguyễn Văn Kiên		Younger brother	CCCD	001083008187	5/26/2015		
12.9		Phí Thị Bích		Sister in law	CCCD	001185001527	7/7/2014		
12.10		Nguyễn Văn Cường		Younger brother	CMND	112394676	8/1/2013		
12.11		Nguyễn Thị Hương		Sister in law	CMND	017086862	3/7/2009		
13	026C340367-VPS	Nguyễn Thị Phương Thảo	Person in charge of Company governance/ Secretary of the Company		CCCD	019180000111	4/25/2021	4/2/2020	
13.1		Nguyễn Xuân Mai		Father	CCCD	036045000062	4/25/2021		
13.2		Phạm Thị Phương		Mother	CCCD	019147000005	4/25/2021		
13.3		Nguyễn Hữu Mạc		Father in law	CCCD	001041001654	8/8/2017		
13.4		Ngô Thị Chức		Mother in law					Passed away
13.5	069c093558-SHS	Nguyễn Quốc Triệu		Husband	CCCD	001068001092	6/25/2014		
13.6		Nguyễn Minh Nguyệt		Daughter	CCCD	001307014153	4/12/2022		
13.7		Nguyễn Quốc Hùng		Son		001210023522	10/9/2024		
13.8		Nguyễn Quốc Anh		Older brother	CCCD	019075000151	7/13/2021		
13.9		Nguyễn Thúy Quỳnh		Sister in law	CCCD	001176023404	4/25/2021		
		Major Shareholder							

No	Stock Symbol	Full name	Position	Relationship with internal persons	Type of NSH (ID card, Passport, Business Registration Certificate)	NSH No.	Date of issue NSH	Date of appointment NNB/becomi ng NLQ	Note
1	2	3	4	5	6	7	8	9	10
		Vietnam National Coal and Mineral Industries Holding Corporation Limited				5700100256	First issued on July 12, 2010, second change issued on October 21, 2014		

PREPARED BY

Signed

Nguyễn Thị Phương Thảo

ORGANIZATION REPRESENTATIVE

(Signature, full name and seal)

Signed

Ngô Quốc Trung



VIETNAM NATIONAL COAL AND MINERAL
INDUSTRIES HOLDING CORPORATION
VINACOMIN – MINERALS HOLDING CORPORATION

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, 21st July 2025

LIST OF STATE SHAREHOLDERS AND MAJORITY SHAREHOLDERS

Respectfully to: - The State Securities Commission
- Hanoi Stock Exchange

Stock symbol: **KSV**

Record date: 13/3/2025

No	Name of person/ Organization (name of representative)	Shareholder type		ID Number/ Certificate of business registration No.	Date of issue	Shareholding (shares)	Shareholding (%)	Notes
		State Shareholder	Majority Shareholder					
1	2	3	4	5	6	7	8	9
1	Vietnam National Coal and Mineral Industries Holding Corporation	X	X	5700100256	21/10/2010	196.117.900	98,06	

PERSON IN CHARGE OF INFORMATION DISCLOSURE
Deputy General Director
(signed)

Ngo Quoc Trung



Appendix 02

**Transactions between the Company and its affiliated persons or between the Company and its major shareholders,
internal persons and affiliated persons**

No.	Name of organization /individual	Relations hip with the Company	Ref. Date of issue, place of issue	Address	Time of transactions with the company	Ref. of resolutions, decision endorsed by General Meeting of Shareholders/Board of Directors (if any, specifying date of issue)	Content, quantity, total value of the transaction	Note
1	Vietnam National Coal and Mineral Industries Holding Corporation Limited	Major Shareholder	57001 0025, 21/10/ 2014	No. 3 Dương Đình Nghệ, Hanoi City, Vietnam	2024	Resolution ref. 933/NQ-VIMICO endorsed by General Meeting of Shareholders on 23/4/2024	Contract for mining, concentrating and processing of minerals in 2024 and Cathode copper 30.081,6521 tons, value before VAT: 6.924.684.017.583 VND	

Appendix 03

Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors,

Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO)

No	Name of organization/ Individual	Relationship with the Company	No. NSH, Date of issue, Place of issue	Address of Head office, Contact address	Time of transaction with the company	Ref. of resolutions, decision endorsed by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of the transaction	Note
1	Vietnam National Coal and Mineral Corporation	Major Shareholder				Resolution No. 311/NQ – VIMICO on 11/2/2025	Purchase/Sale of Scrap Goods: 3,358,305 kg; Value: 26,942,944,281 VND; Contract 2326/HD- VKCC dated March 26, 2025 (Khe Cham Co;); Contract 516/HD-TNM dated April 21, 2025 (Nam Mau Co;)	

2	Cao Bang Cast Iron and Steel Joint Stock Company	Mr. Ngo Quoc Trung – Chairman of Board of Director	4800162247	No. 52, Kim Dong street, Hop Giang city, Cao Bang province		Resolution No. 532/NQ – VIMICO dated 4/3/2025	Purchase/Sale of Scrap Goods: 7,001,020 kg Value: 58,349,115,451 VND Contract 3106/HD-VTNC dated May 09, 2025 (Thong Nhat Coal Co.); Contract 241/HD-TTHG dated June 18, 2025 (Hon Gai Coal Co;)	
3	Lai Chau Rare Earth Joint Stock Company	Mr. Ngo Quoc Trung – Chairman of Board of Director				Resolution No. 721/NQ – VIMICO dated 21/3/2025	Excavation and Transportation of Soil, Rock, and Ore: 500,000 m ³ . Provisional Value: VND 31,134,000,000 Contract No. 176/2025/HĐKT-VIMICO-ĐHLC dated March 21, 2025, between Lai Chau Rare Earth Joint Stock Company and Vimico, for "Excavation and Transportation of Soil, Rock, and Ore in 2025" at Ta Phoi Copper Mine.	

Appendix 04

Share transactions of internal persons and their affiliated persons (First six month 2024 report)

The list of internal persons and their affiliated persons.

No.	Full Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Ngô Quốc Trung	069C381616	Deputy General Director Vinacomin – Minerals Holding Corporation	01508000 1078	Dong Da, Hanoi	0	0	
2	Trịnh Văn Tuệ	069C376225	Chairman of Board of Director Vinacomin – Minerals holding Corporation	03006800 0611	Ha Dong, Hanoi	5.000	0,0025%	

Transactions of internal persons and affiliated persons with shares of the Company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Ngô Quốc Trung	Deputy General Director Vinacomin – Minerals Holding Corporation	5.100	0,0025%	0	0	Individual investment
2	Trịnh Văn Tuệ	Chairman of Board of Director Vinacomin – Minerals holding Corporation	15.200	0,0076%	5000	0,0025%	Individual investment